

04-14-03

6/28/11

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
08228-021001

In Re Application Of: SHIRO SAKAI

BEST AVAILABLE COPY

Serial No.
10/092,231

Filing Date
03/06/2002

Examiner

Group Art Unit
2811

Title: **METHOD FOR MANUFACTURING GALLIUM NITRIDE COMPOUND SEMICONDUCTOR AND LIGHT EMITTING ELEMENT**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

RECEIVED
APR 15 2003
TECHNOLOGY CENTER 2800

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

08228.021001

In Re Application Of: Shiro SAGAMI

Serial No.

10/092,231

Filing Date

03/06/2002

Examiner

Group Art Unit

2811

Title: **METHOD FOR MANUFACTURING GALLIUM NITRIDE COMPOUND SEMICONDUCTOR AND
LIGHT EMITTING ELEMENT**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

_____) on _____
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Jeffrey S. Bergman 45,925
Signature

Dated:

4/11/03

Jonathan P. Osha, Reg. No. 33,986
Rosenthal & Osha, L.L.P.
1221 McKinney Street, Suite 2800
Houston, Texas 77010

Telephone: 713-228-8600
Facsimile: 713-228-8778

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Shiro SAKAI			Docket No. 08228.021001	
Serial No. 10/092,231	Filing Date 03/06/2002	Examiner	Group Art Unit 2811	
Invention: METHOD FOR MANUFACTURING GALLIUM NITRIDE COMPOUND SEMICONDUCTOR AND LIGHT EMITTING ELEMENT				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px auto; width: 80%; text-align: center;"> Information Disclosure Statement </div> <p style="text-align: center; font-size: small;">(Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p style="text-align: center;"> <u>April 11, 2003</u> <small>(Date)</small> </p> <div style="margin-top: 20px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 40%;"> <p style="text-align: center;"> <u>EV200869888US</u> <small>(Typed or Printed Name of Person Mailing Correspondence)</small> </p> <p style="text-align: center;"> <u>Brenda C. McFadden</u> <small>(Signature of Person Mailing Correspondence)</small> </p> <p style="text-align: center;"> Brenda C. McFadden <small>("Express Mail" Mailing Label Number)</small> </p> </div> <div style="width: 50%; text-align: right;"> <div style="border: 1px solid black; padding: 5px; transform: rotate(90deg); transform-origin: right top; position: relative;"> <div style="position: absolute; top: -20px; right: -10px; font-weight: bold;">RECEIVED</div> <div style="position: absolute; top: 0; right: 0;">APR 15 2003</div> <div style="position: absolute; bottom: 0; right: 0;">TECHNOLOGY CENTER 2800</div> </div> </div> </div> </div>				
Note: Each paper must have its own certificate of mailing.				